



Attorney Docket No.: SONY-03200  
IPD No:50P4124

# COPY

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

**Tree**

Serial No.: 09/827,504

Filed: April 6, 2001

For: USER INTERFACE  
FOR AN E-MARKER

Art Unit: 2673

Examiner: Mengistu, Amare

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## AFFIDAVIT UNDER 37 CFR 1.131

Sir/Madam:

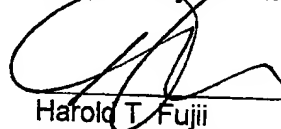
I, Harold T. Fujii, attest:

- 1) I am authorized to sign on behalf of Sony Electronics Inc.;
- 2) To the best of my knowledge, Sony Electronics Inc. is a wholly owned subsidiary of SEL Holdings Inc.; SEL Holdings Inc. is a wholly subsidiary of Sony Corporation of America; Sony Corporation of America is a wholly owned subsidiary of Sony Americas Holding Inc.; and Sony Americas Holding Inc. is a wholly owned subsidiary of Sony Corporation.
- 3) Therefore, to the best of my knowledge, Sony Electronics Inc. is a wholly owned subsidiary of Sony Corporation;
- 4) A recorded assignment of the present application assigns all rights of the present application to Sony Corporation and Sony Electronics Inc.;

- 5) A copy of this recorded assignment is attached (Exhibit A) and the recorded assignment can be found on Reel 013497 and Frame 0662;
- 6) To the best of my knowledge, the present application and the Deguchi Patent (US Patent No. 6,578,047) are commonly owned; and
- 7) I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the U. S. Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: 5/14/2004

Respectfully submitted,



Harold T. Fujii  
Vice President Of Intellectual Property  
Sony Electronics Inc.  
Registration No. 38,458



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

Q

3200

MARCH 21, 2003

PTAS

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
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RICHARD H. BUTT  
5655 SILVER CREEK VALLEY ROAD  
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SAN JOSE, CA 95138



\*102288660A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/12/2002

REEL/FRAME: 013497/0662  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TREE, JOHN

DOC DATE: 03/20/2001

ASSIGNEE:

SONY CORPORATION  
7-35 KITASHINAGAWA 6-CHOME,  
SHINIGAWAW-KU  
TOKYO, JAPAN

ASSIGNEE:

SONY ELECTRONICS INC.  
1 SONY DRIVE  
PARK RIDGE, NEW JERSEY 07656

SERIAL NUMBER: 09827504  
PATENT NUMBER:

FILING DATE: 04/06/2001  
ISSUE DATE:

013497/0662 PAGE 2

DOROTHY RILEY, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

11-21-2002

Form PTO-1595  
(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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102288660

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

John Tree

11-12-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 03/20/2001

## 2. Name and address of receiving party(ies)

Name: Sony Corporation

Internal Address: \_\_\_\_\_

Street Address: 7-35 Kitashinagawa 6-Chome,  
Shinigawaw-Ku

City: Tokyo, Japan State: \_\_\_\_\_ Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☒ Yes ☐ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/827,504

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard H. Butt

Internal Address: \_\_\_\_\_

Street Address: 5655 Silver Creek Valley Road  
#106

City: San Jose State: CA Zip: 95138

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

See Transmittal

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## 9. Signature.

Richard H. Butt

Name of Person Signing

Signature

11/4/02

Date

Total number of pages including cover sheet, attachments, and documents: 3

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Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231OFFICE OF PUBLIC RECORDS  
2002 NOV 12 AM 8:28  
FINANCE SECTION

2. Name and Address of Receiving Party Continued....

Sony Electronics Inc., 1 Sony Drive, Park Ridge, NJ, 07656

ASSIGNMENT

WHEREAS, I, John Tree hereinafter referred to as "ASSIGNOR", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: USER INTERFACE FOR AN E-MARKER  
Application (Declaration/Oath) Execution Date: 03-20-01  
Filing Date: 03-20-01 Application No.: New Application  
04705-01

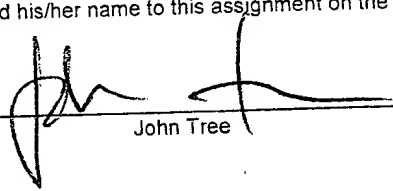
AND WHEREAS, Sony Corporation, a Japanese corporation with offices at 7-35 Kitashinagawa, 6-chome, Shinagawa-ku, Tokyo 141-0001, Japan and Sony Electronics Inc., a Delaware corporation with offices at 123 Tice Blvd., Woodcliff Lake, New Jersey 07675 (hereinafter referenced as ASSIGNEES) are desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the said Assignees, and Assignees' successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said Assignees as fully and exclusively as it would have been held and enjoyed by said Assignor had this assignment and transfer not been made, to the full end and term of any such Letters Patent.

Assignor further agrees that he will, without charge to said Assignees, but at Assignees' expense, cooperate with Assignees in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignees lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignees, or Assignees' successors and assigns.

IN TESTIMONY WHEREOF, Assignor has hereunto signed his/her name to this assignment on the date indicated below.

Date: 20 March 2001

  
\_\_\_\_\_  
John Tree

State of \_\_\_\_\_

County of \_\_\_\_\_

On \_\_\_\_\_ before me, \_\_\_\_\_

personally appeared \_\_\_\_\_ personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
Signature of Notary

SEAL



**CERTIFICATION UNDER 37 CFR 1.8**

I hereby certify that the foregoing:

Affidavit Under 37 CFR 1.131 with Exhibit A (COPY)

is being deposited with the United States Postal Service on August 26, 2005  
\_\_\_\_\_ with sufficient postage as first class mail in an envelope addressed  
to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JOHN P. O'BANION

**(Type or print name of person mailing paper)**

**(Signature of person mailing paper)**